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DOCKET NO.: 4685

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN THE MATTER OF THE APPLICATION FOR PATENT

OF: Shinsuke FUJIWARA et al.

| ART UNIT: 2811

SERIAL NO.: 10/829,306

| CONF. NO.: 5680

FILED: April 20, 2004

| EXAMINER: A. O. ARENA

FOR: SEMICONDUCTOR LIGHT EMITTING DEVICE

COMMISSIONER FOR PATENTS
P.O. BOX 1450
ALEXANDRIA, VA 22313-1450

January 18, 2007

INFORMATION DISCLOSURE STATEMENT WITH CERTIFICATE OF MAILING

Dear Sir:

- 1) Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98 applicants enclose a Fourth Form PTO-1449, a copy and an English abstract of reference AU, and a copy as well as an English translation of a Chinese Office Action issued on November 24, 2006 in a counterpart Chinese application.
- 2) This Information Disclosure Statement is being filed before the issuance of a Final Office Action (after filing an RCE) or Notice of Allowance and includes a statement under 37 CFR 1.97(e) (see next paragraph). Thus, in accordance with 37 CFR 1.97(c)(1), no fee is due.

- 3) The undersigned attorney hereby certifies that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
- 4) Reference AT is in English. Reference AU is accompanied by an English Abstract and is a counterpart of AT, from which the relevance can be determined in English. Therefore no further discussion of the references is necessary.
- 5) Moreover, the enclosed English translation of the Chinese Office Action shows why the Chinese Examiner found reference AU to be relevant to the counterpart Chinese patent application. The Chinese Examiner's statements are not ratified as accurate or adopted by applicant, but they are submitted for the US Examiner's consideration as to the possible relevance of the reference.
- 6) The Examiner is requested to consider all references of record, return an initialed copy of the enclosed Form PTO-1449 and ensure that all references of record are printed on any patent issuing from this application.



- 7) A Response to the Office Action of August 31, 2006 will be filed in due course.

Respectfully submitted,
Shinsuke FUJIWARA et al.
Applicant

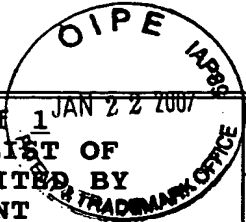
WFF:ks/4685
Enclosures:
postcard,
Fourth Form PTO-1449,
1 reference,
1 English abstract,
copy and English translation
of Chinese Office Action

By Walter F. Fasse
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CERTIFICATE OF MAILING:

I hereby certify that this correspondence with all indicated enclosures is being deposited with the U. S. Postal Service with sufficient postage as first-class mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date indicated below.

Karin Smith - January 18, 2007
Name: Karin Smith - Date: January 18, 2007



Sheet 1 of 1
FOURTH IDS LIST OF
REFERENCES CITED BY
APPLICANT

(FORM PTO-1449)
DATED: January 18, 2007

Atty. Docket No.: 4685	Serial No.: 10/829,306
Inventor(s): Shinsuke FUJIWARA et al.	
U.S. Filing Date: April 20, 2004	Art Unit: 2811

U. S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	Cl.	Sub- Cl.	File Date
	AT	2004/0235212	11/2004	Ishizaki	-	-	-

FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	Cl.	Sub- Cl.	English
	AU	2003-037290	02/2003	Japan	-	-	Abstract, = AT

OTHER DOCUMENTS

EXAMINER'S SIGNATURE	DATE CONSIDERED
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.